# Refined dual Grothendieck polynomials from integrability

Travis Scrimshaw

Joint work with Kohei Motegi

The University of Queensland

September 29th, 2020

#### **Table of Contents**

- Refined dual Grothendiecks
  - Symmetric Grothendiecks
  - Dual Grothendiecks
  - Refined dual Grothendiecks
- 2 The lattice model
  - Nonintersecting lattice paths
  - Combinatorial interpretation
- Results
  - Identities
  - Symmetries
  - Further directions

## **Outline**

- Refined dual Grothendiecks
  - Symmetric Grothendiecks
  - Dual Grothendiecks
  - Refined dual Grothendiecks
- 2 The lattice model
- Results

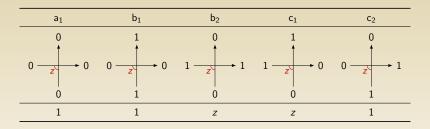
• The Grassmannian: k-dimensional planes in  $\mathbb{C}^n$ .

- The Grassmannian: k-dimensional planes in  $\mathbb{C}^n$ .
- Basis for cohomology using Schubert varieties.

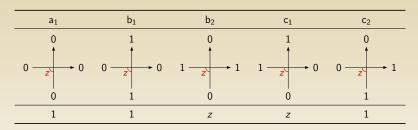
- The Grassmannian: k-dimensional planes in  $\mathbb{C}^n$ .
- Basis for cohomology using Schubert varieties.
- Represented by Schur functions  $s_{\lambda}(\mathbf{x})$  such that  $\lambda$  inside a  $k \times (n-k)$  rectangle.

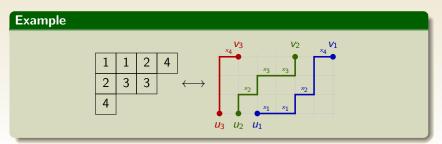
- The Grassmannian: k-dimensional planes in  $\mathbb{C}^n$ .
- Basis for cohomology using Schubert varieties.
- Represented by Schur functions  $s_{\lambda}(\mathbf{x})$  such that  $\lambda$  inside a  $k \times (n-k)$  rectangle.
- Many well-known formulas, including sum over semistandard tableaux, the Jacobi-Trudi formula, and using 5-vertex integrable lattice models.

## 5-vertex model for Schur functions



## 5-vertex model for Schur functions





• We want a richer structure: K-theory

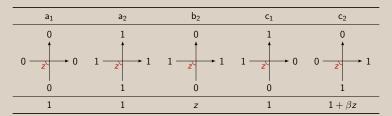
- We want a richer structure: K-theory
- Schubert varieties again lead to a basis.

- We want a richer structure: K-theory
- Schubert varieties again lead to a basis.
- Represented by (symmetric) Grothendieck polynomials  $G_{\lambda}(\mathbf{x}; \beta)$ .

- We want a richer structure: K-theory
- Schubert varieties again lead to a basis.
- Represented by (symmetric) Grothendieck polynomials  $G_{\lambda}(\mathbf{x}; \beta)$ .

#### Theorem (Motegi–Sakai, 2013)

The 5-vertex model with L-matrix



is integrable and the partition function is  $G_{\lambda}(\mathbf{x}; \beta)$ .

• There is an inner product defined by  $\langle s_{\lambda}, s_{\mu} \rangle = \delta_{\lambda\mu}$ .

- There is an inner product defined by  $\langle s_{\lambda}, s_{\mu} \rangle = \delta_{\lambda\mu}$ .
- The dual Grothendieck polynomials  $g_{\lambda}(\mathbf{x}, \beta)$  are the symmetric functions dual to  $G_{\lambda}(\mathbf{x}; \beta)$  under this inner product.

- There is an inner product defined by  $\langle s_{\lambda}, s_{\mu} \rangle = \delta_{\lambda\mu}$ .
- The dual Grothendieck polynomials  $g_{\lambda}(\mathbf{x}, \beta)$  are the symmetric functions dual to  $G_{\lambda}(\mathbf{x}; \beta)$  under this inner product.
- $g_{\lambda}(\mathbf{x}, \beta)$  is the sum over *reverse plane partitions (RPPs)*, fillings of  $\lambda$  such that rows and columns weakly increase.

- There is an inner product defined by  $\langle s_{\lambda}, s_{\mu} \rangle = \delta_{\lambda\mu}$ .
- The dual Grothendieck polynomials  $g_{\lambda}(\mathbf{x}, \beta)$  are the symmetric functions dual to  $G_{\lambda}(\mathbf{x}; \beta)$  under this inner product.
- $g_{\lambda}(\mathbf{x}, \beta)$  is the sum over *reverse plane partitions (RPPs)*, fillings of  $\lambda$  such that rows and columns weakly increase.
- The weight is now the number of *columns* containing i and  $\beta$  measures how far from being a semistandard tableau.

- There is an inner product defined by  $\langle s_{\lambda}, s_{\mu} \rangle = \delta_{\lambda\mu}$ .
- The dual Grothendieck polynomials  $g_{\lambda}(\mathbf{x}, \beta)$  are the symmetric functions dual to  $G_{\lambda}(\mathbf{x}; \beta)$  under this inner product.
- $g_{\lambda}(\mathbf{x}, \beta)$  is the sum over *reverse plane partitions (RPPs)*, fillings of  $\lambda$  such that rows and columns weakly increase.
- The weight is now the number of *columns* containing i and  $\beta$  measures how far from being a semistandard tableau.

#### Theorem (Lam-Pylyavskyy, 2008)

There exists bijection between RPPs and a semistandard tableau (P,E) of shape  $\mu$  and  $\lambda/\mu$  such that entries in row i of E are at most i. The tableau E is called an elegant tableau.

#### Refinement

• We want to keep track of which row a duplicate box occurs in.

#### Refinement

- We want to keep track of which row a duplicate box occurs in.
- We replace  $\beta^k$  with monomials in  $\mathbf{t} = (t_1, \dots, t_{\ell-1})$ ; introduced by [Galashin–Grinberg–Liu, 2016].

#### Refinement

- We want to keep track of which row a duplicate box occurs in.
- We replace  $\beta^k$  with monomials in  $\mathbf{t} = (t_1, \dots, t_{\ell-1})$ ; introduced by [Galashin–Grinberg–Liu, 2016].
- Corresponds to t<sup>wt(E)</sup> under the Lam-Pylyavskyy bijection (see [Yeliussizov, 2017]).

- We want to keep track of which row a duplicate box occurs in.
- We replace  $\beta^k$  with monomials in  $\mathbf{t} = (t_1, \dots, t_{\ell-1})$ ; introduced by [Galashin–Grinberg–Liu, 2016].
- Corresponds to t<sup>wt(E)</sup> under the Lam-Pylyavskyy bijection (see [Yeliussizov, 2017]).

#### **Definition**

The refined dual Grothendieck polynomial is

$$g_{\lambda}(\mathbf{x};\mathbf{t}) = \sum_{\mu \subset \lambda} e^{\mu} \lambda(\mathbf{t}) s_{\mu}(\mathbf{x}),$$

where  $e^{\mu}\lambda(\mathbf{t}) = \sum_{E} \mathbf{t}^{\text{wt}(E)}$  is over elegant tableau E of shape  $\lambda/\mu$ .

#### **Outline**

- Refined dual Grothendiecks
- 2 The lattice model
  - Nonintersecting lattice paths
  - Combinatorial interpretation
- 3 Results

• [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.

- [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.
- [Chen-Li-Louck, 2002] showed these are given by noninteresecting lattice paths.

- [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.
- [Chen-Li-Louck, 2002] showed these are given by noninteresecting lattice paths.
- We transform this into the 5-vertex model by extending the bottom points.

- [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.
- [Chen-Li-Louck, 2002] showed these are given by noninteresecting lattice paths.
- We transform this into the 5-vertex model by extending the bottom points.
- We have a jagged boundary with the end point for  $\lambda_i$  down  $\ell(\lambda) i$  steps from the top from flagging condition.

- [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.
- [Chen-Li-Louck, 2002] showed these are given by noninteresecting lattice paths.
- We transform this into the 5-vertex model by extending the bottom points.
- We have a jagged boundary with the end point for  $\lambda_i$  down  $\ell(\lambda) i$  steps from the top from flagging condition.
- The x in the rectangular portion; the t in the jagged portion.

- [Lascoux–Naruse, 2014] showed that  $g_{\lambda}(\mathbf{x}, 1)$  is given by summing over flagged semistandard tableaux where row i is bounded by n + i.
- [Chen-Li-Louck, 2002] showed these are given by noninteresecting lattice paths.
- We transform this into the 5-vertex model by extending the bottom points.
- We have a jagged boundary with the end point for  $\lambda_i$  down  $\ell(\lambda) i$  steps from the top from flagging condition.
- The x in the rectangular portion; the t in the jagged portion.

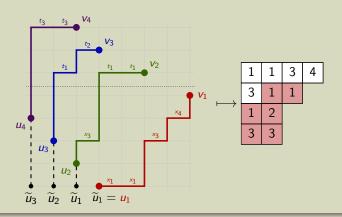
#### Theorem

The partition function of this jagged 5-vertex is a refined dual Grothendieck polynomial.

## Lattice path construction

#### **Example**

Let n=5,  $\lambda=4322$ , and  $\mu=41$ . The shaded portion below is the elegant tableau.



## **Elegant tableaux**

#### Proof.

Notice that the jagged portion precisely corresponds to the elegant tableau.

## Elegant tableaux

#### Proof.

Notice that the jagged portion precisely corresponds to the elegant tableau.

#### **Corollary**

The refined dual Grothendiecks are given by Lascoux's multi-Schur functions, and are specializations of certain Schubert polynomials (and Demazure characters/key polynomials).

## **Outline**

- Refined dual Grothendiecks
- 2 The lattice model
- Results
  - Identities
  - Symmetries
  - Further directions

## Jacobi-Trudi formulas

By using the Lindström–Gessel–Viennot (LGV) lemma, our model yields the following Jacobi–Trudi formula for dual Grothendiecks:

#### Jacobi-Trudi formulas

By using the Lindström–Gessel–Viennot (LGV) lemma, our model yields the following Jacobi–Trudi formula for dual Grothendiecks:

#### Corollary

$$g_{\lambda}(\mathbf{x};\mathbf{t}) = \det ig[h_{\lambda_i+j-i}(\mathbf{x},t_1,\ldots,t_{i-1})ig]_{i,j=1}^n$$

#### Jacobi-Trudi formulas

By using the Lindström–Gessel–Viennot (LGV) lemma, our model yields the following Jacobi–Trudi formula for dual Grothendiecks:

#### **Corollary**

$$g_{\lambda}(\mathbf{x};\mathbf{t}) = \det \left[h_{\lambda_i+j-i}(\mathbf{x},t_1,\ldots,t_{i-1})\right]_{i,j=1}^n$$

From the multi-Schur definition, using a refined version of the algebraic computations in [Lascoux–Naruse, 2014], we have a dual Jacobi–Trudi formula:

### Jacobi-Trudi formulas

By using the Lindström–Gessel–Viennot (LGV) lemma, our model yields the following Jacobi–Trudi formula for dual Grothendiecks:

#### **Corollary**

$$g_{\lambda}(\mathbf{x};\mathbf{t}) = \det[h_{\lambda_i+j-i}(\mathbf{x},t_1,\ldots,t_{i-1})]_{i,j=1}^n$$

From the multi-Schur definition, using a refined version of the algebraic computations in [Lascoux–Naruse, 2014], we have a dual Jacobi–Trudi formula:

#### Corollary

$$g_{\lambda}(\mathbf{x};\mathbf{t}) = \det \left[ e_{\lambda'_i + j - i}(\mathbf{x}, t_1, \dots, t_{\lambda'_i - 1}) \right]_{i,j=1}^n$$

# Cauchy-type identity

#### Corollary

Let  $\lambda^{\dagger}$  be the complement of  $\lambda$  in  $m^{\ell}$  and  $\mathbf{t}^{\dagger}=(t_{\ell-1},\ldots,t_1)$ :

$$s_{m^{\ell}}(\mathbf{x},\mathbf{t},\mathbf{y}) = \sum_{\lambda \subseteq m^{\ell}} g_{\lambda}(\mathbf{x};\mathbf{t}) g_{\lambda^{\dagger}}(\mathbf{y};\mathbf{t}^{\dagger}).$$

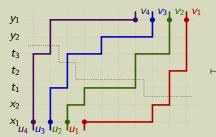
# Cauchy-type identity

#### Corollary

Let  $\lambda^{\dagger}$  be the complement of  $\lambda$  in  $m^{\ell}$  and  $\mathbf{t}^{\dagger} = (t_{\ell-1}, \dots, t_1)$ :

$$s_{m^\ell}(\mathbf{x},\mathbf{t},\mathbf{y}) = \sum_{\lambda \subseteq m^\ell} g_\lambda(\mathbf{x};\mathbf{t}) g_{\lambda^\dagger}(\mathbf{y};\mathbf{t}^\dagger).$$

#### **Example**







where  $y_1 < y_2 < t_3 < t_2 < t_1$ .

# **Dual Grothendieck expansion**

By utilizing the same idea, we obtain the following corollaries.

# **Dual Grothendieck expansion**

By utilizing the same idea, we obtain the following corollaries.

#### **Corollary (Branching rule)**

$$g_{\lambda}(\mathsf{x},\gamma;\mathsf{t}) = \sum_{\mu\subseteq\lambda} \gamma^{\lambda_1-\mu_1} t_1^{\lambda_2-\mu_2} \cdots t_{\ell-1}^{\lambda_\ell-\mu_\ell} g_{\mu}(\mathsf{x};\gamma,\mathsf{t})$$

# **Dual Grothendieck expansion**

By utilizing the same idea, we obtain the following corollaries.

#### Corollary (Branching rule)

$$g_{\lambda}(\mathbf{x},\gamma;\mathbf{t}) = \sum_{\mu \subset \lambda} \gamma^{\lambda_1 - \mu_1} t_1^{\lambda_2 - \mu_2} \cdots t_{\ell-1}^{\lambda_\ell - \mu_\ell} g_{\mu}(\mathbf{x};\gamma,\mathbf{t})$$

#### Corollary

$$s_{
u}(\mathbf{x},\widetilde{\mathbf{t}}) = \sum_{\lambda \subset \mu} p_{
u}^{\lambda}(\widetilde{\mathbf{t}}) g_{\lambda}(\mathbf{x};\mathbf{t}),$$

where  $\widetilde{\mathbf{t}} = (t_1, \dots, t_m)$  and  $p_{\nu}^{\lambda}(\widetilde{\mathbf{t}})$  are semistandard skew tableau of shape  $\lambda/\mu$  with max entry m and all entries in row i being at least i.

Note for  $\lambda=m^\ell$ , the upper right paths are fixed to being vertical:

Note for  $\lambda = m^{\ell}$ , the upper right paths are fixed to being vertical:

### Corollary

$$s_{m^{\ell}}(\mathbf{x},\mathbf{t})=g_{m^{\ell}}(\mathbf{x};\mathbf{t}).$$

Note for  $\lambda = m^{\ell}$ , the upper right paths are fixed to being vertical:

### Corollary

$$s_{m\ell}(\mathbf{x},\mathbf{t})=g_{m\ell}(\mathbf{x};\mathbf{t}).$$

We note that the symmetry comes from the Yang–Baxter equation. We can extend this to the following.

Note for  $\lambda = m^{\ell}$ , the upper right paths are fixed to being vertical:

### Corollary

$$s_{m\ell}(\mathbf{x},\mathbf{t})=g_{m\ell}(\mathbf{x};\mathbf{t}).$$

We note that the symmetry comes from the Yang-Baxter equation. We can extend this to the following.

#### Corollary

If  $\lambda_i = \lambda_{i+1}$ , then  $g_{\lambda}(\mathbf{x}; \mathbf{t})$  is symmetric in  $t_{i-1}$  and  $t_i$ , where  $t_0 = x_n$ .

Note for  $\lambda = m^{\ell}$ , the upper right paths are fixed to being vertical:

#### **Corollary**

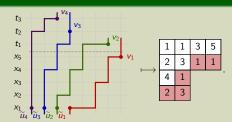
$$s_{m\ell}(\mathbf{x},\mathbf{t})=g_{m\ell}(\mathbf{x};\mathbf{t}).$$

We note that the symmetry comes from the Yang–Baxter equation. We can extend this to the following.

#### **Corollary**

If  $\lambda_i = \lambda_{i+1}$ , then  $g_{\lambda}(\mathbf{x}; \mathbf{t})$  is symmetric in  $t_{i-1}$  and  $t_i$ , where  $t_0 = x_n$ .

#### **Example**



### **Another 5-vertex model**

If we instead use the usual bijection between semistandard tableaux and Gelfand–Tsetlin patterns, we can obtain the same formulas using the Motegi–Sakai model at  $\beta=0$ .

### **Another 5-vertex model**

If we instead use the usual bijection between semistandard tableaux and Gelfand–Tsetlin patterns, we can obtain the same formulas using the Motegi–Sakai model at  $\beta=0$ .

#### Corollary

$$\sum_{\lambda \subseteq m^{\ell}} \prod_{i=1}^{\ell} t_i^{m-\lambda_i} g_{\lambda}(\mathbf{x}; \mathbf{t}) = \prod_{i=1}^{\ell} t_i^m \prod_{1 \le i < j \le n} \frac{1}{(x_i - x_j)(t_i^{-1} - t_j^{-1})} \times \det \left[ \frac{(x_i t_j^{-1})^{m+n} - 1}{x_i t_j^{-1} - 1} \right]_{i,j=1}^{n} \bigg|_{t_{\ell+1} = \dots = t_n = \infty}.$$

### **Another 5-vertex model**

If we instead use the usual bijection between semistandard tableaux and Gelfand–Tsetlin patterns, we can obtain the same formulas using the Motegi–Sakai model at  $\beta=0$ .

#### **Corollary**

$$\begin{split} \sum_{\lambda \subseteq m^{\ell}} \prod_{i=1}^{\ell} t_i^{m-\lambda_i} g_{\lambda}(\mathbf{x}; \mathbf{t}) &= \prod_{i=1}^{\ell} t_i^m \prod_{1 \le i < j \le n} \frac{1}{(x_i - x_j)(t_i^{-1} - t_j^{-1})} \\ &\times \det \left[ \frac{(x_i t_j^{-1})^{m+n} - 1}{x_i t_j^{-1} - 1} \right]_{i,j=1}^{n} \bigg|_{t_{\ell+1} = \dots = t_n = \infty}. \end{split}$$

### Corollary (Yeliussizov, 2019, Thm 5.2(iv))

$$\sum_{\ell(\lambda) < \ell} \prod_{i=1}^{\ell} t_i^{-\lambda_i} g_{\lambda}(\mathbf{x}; \mathbf{t}) = \prod_{i=1}^{n} \prod_{j=1}^{\ell} \frac{1}{1 - t_j^{-1} x_i} = \prod_{i=1}^{n} \prod_{j=1}^{\ell} \frac{t_j}{t_j - x_i}.$$

• The Motegi–Sakai model has the extra parameter  $\beta$ .

- The Motegi–Sakai model has the extra parameter  $\beta$ .
- The resulting partition function has the same symmetries and is Grothendieck positive.

- The Motegi–Sakai model has the extra parameter  $\beta$ .
- The resulting partition function has the same symmetries and is Grothendieck positive.
- What is the geometric interpretation of these polynomials?

- The Motegi–Sakai model has the extra parameter  $\beta$ .
- The resulting partition function has the same symmetries and is Grothendieck positive.
- What is the geometric interpretation of these polynomials?
- What are their structure coefficients?

- The Motegi–Sakai model has the extra parameter  $\beta$ .
- The resulting partition function has the same symmetries and is Grothendieck positive.
- What is the geometric interpretation of these polynomials?
- What are their structure coefficients?
- What are the corresponding dual symmetric functions?

- The Motegi–Sakai model has the extra parameter  $\beta$ .
- The resulting partition function has the same symmetries and is Grothendieck positive.
- What is the geometric interpretation of these polynomials?
- What are their structure coefficients?
- What are the corresponding dual symmetric functions?
- What is their combinatorial interpretation?

•  $g_{\lambda}(\mathbf{x}; 1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].

- $g_{\lambda}(\mathbf{x};1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].
- Can be extended to **t** case and  $g_{\lambda}$  describes the transition probabilities.

- $g_{\lambda}(\mathbf{x}; 1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].
- Can be extended to **t** case and  $g_{\lambda}$  describes the transition probabilities.
- Leads to a natural definition of skew refined dual Grothendieck polynomials.

- $g_{\lambda}(\mathbf{x}; 1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].
- Can be extended to **t** case and  $g_{\lambda}$  describes the transition probabilities.
- Leads to a natural definition of skew refined dual Grothendieck polynomials.
- Using algebraic approach of [Johansson, 2010], Jacobi-Trudi formulas can be shown (independent results; see also [Kim, 2020]).

- $g_{\lambda}(\mathbf{x}; 1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].
- Can be extended to **t** case and  $g_{\lambda}$  describes the transition probabilities.
- Leads to a natural definition of skew refined dual Grothendieck polynomials.
- Using algebraic approach of [Johansson, 2010], Jacobi-Trudi formulas can be shown (independent results; see also [Kim, 2020]).
- Recovers result that a last passage percolation probability is given by the Schur measure [Johansson, 2000] and [Baik–Rains, 2001].

- $g_{\lambda}(\mathbf{x}; 1)$  related to last passage percolation and TASEP on a line [Yeliussizov, 2019].
- Can be extended to **t** case and  $g_{\lambda}$  describes the transition probabilities.
- Leads to a natural definition of skew refined dual Grothendieck polynomials.
- Using algebraic approach of [Johansson, 2010], Jacobi-Trudi formulas can be shown (independent results; see also [Kim, 2020]).
- Recovers result that a last passage percolation probability is given by the Schur measure [Johansson, 2000] and [Baik–Rains, 2001].

#### Corollary

$$g_{\lambda}(\mathbf{x};\beta) = \frac{1}{(2\pi i)^{\ell}} \oint \cdots \oint \prod_{i < j} \frac{(z_j - z_i)(1 - \beta z_j - \beta z_i)}{(1 - \beta z_j)} \frac{\prod_{i=1}^{\ell} z_i^{\lambda_i + \ell - i}}{\prod_{i,m=1}^{\ell} (z_i - x_m)} dz_1 \cdots dz_{\ell}$$

# Thank you!

### References

- Jang Soo Kim. Jacobi–Trudi formula for flagged refined dual stable Grothendieck polynomials. arχiv: 2008.12000. 2020.
- Alain Lascoux and Hiroshi Naruse. Finite sum Cauchy identity for dual Grothendieck polynomials. *Proc. Japan Acad. Ser. A Math.* Sci., 90(7):87–91, 2014.
- Damir Yeliussizov. Duality and deformations of stable Grothendieck polynomials. J. Algebraic Combin., 45(1):295–344, 2017.
- Damir Yeliussizov. Random plane partitions and corner distributions.  $ar\chi iv$ : 1910.13378. 2019.
- Pavel Galashin, Darij Grinberg, and Gaku Liu. Refined dual stable Grothendieck polynomials and generalized Bender–Knuth involutions. *Electron. J. Combin.*, 23(3):Paper 3.14, 28, 2016.
- Kohei Motegi and TS: Refined dual Grothendieck polynomials, integrability, and the Schur measure. In preparation.